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9-22-02

PATENT

Atty. Docket No. 06005/35530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Havekost et al.  
Ser. No. : 09/777,225  
Filed : February 5, 2001  
For : Hierarchical Failure Management  
for Process Control Systems  
Art Unit : 2152  
Examiner : Not yet assigned

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231, on this date:

Date: August 28, 2002

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INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents  
Washington, D.C. 20231

SEP 05 2002

Technology Center 2100

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, the documents identified on the enclosed form PTO-1449, copies of which are enclosed, are disclosed to for consideration in connection with the present application.

This information disclosure statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits. In accordance with 37 CFR §1.97(b), no certification or fee is required.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: August 28, 2002

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